U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE FC.PM PTO-1390 ATTORNEY'S DOCKET NUMBER (RÊV . 11-2000) TRANSMITTAL LETTER TO THE UNITED STATES 40072-0008 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (if known, see 37 CFR 1.5) **CONCERNING A FILING UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED 25 December 2002 (25.12.02) PCT/JP02/13557 26 December 2001 (26.12.01) TITLE OF INVENTION NOVEL CRYSTALLINE TRICYCLIC TRIAZOLOBENZAZEPINE DERIVATIVE APPLICANT(S) FOR DO/EO/US Shin-Ichi KITAHARA, Hanae FURUKAWA, Toshihiro YAMAGUCHI, Sachiko MIYAMOTO, and Yumiko OKADA Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. This express request to begin national examination procedures (35 U.S.C. 371(f). The submission must include items (5), (6), (9) and (21) indicated below. 4. \boxtimes The US has been elected by the expiration of 19 months from the priority date (Article 31). Έ. 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) 冈 is transmitted herewith (required only if not transmitted by the International Bureau). a. b. \boxtimes has been transmitted by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). c. 6. \boxtimes A translation of the International Application into English (35 U.S.C. 371(c)(2)). a. \boxtimes is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. \boxtimes Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not transmitted by the International Bureau). a. b. had been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. c. d. \boxtimes have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20. below concern document(s) or information included: \boxtimes 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. (2 references cited in ISR) 12. 冈 An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included 13. A FIRST preliminary amendment. 14. A SECOND or SUBSEQUENT preliminary amendment. 15.

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A substitute specification.

A change of power of attorney and/or address letter.

A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C.

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

Other items or information: Forms: Cover Page of Published Application; PCT/IB/301, PCT/IB/304, PCT/IB/308,

A second copy of the published international application under 35 U.S.C. 154(d)(4)

PCT/IB/332, PCT/IPEA/401, PCT/ISA/210, and Application Data Sheet

DT11 Rec'd PCT/PTO 25 JUN 2004

U.S. APPLICATION NO. (IF	To be assen 0/500	1 INTERNATIONAL APP			ATTORNEY'S DOCK	
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21. 🛛 The following fees are submitted:					CALCULATIONS PTO USE ONLY	
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1)-(5)):						
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2) paid to USPTO and International Search Report not prepared by the EPO or JPO\$1080.00						
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$920.00						
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO\$770.00					:	
International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)\$730.00						
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4)\$100.00						
ENTER APPROPRIATE BASIC FEE AMOUNT =					\$ 920.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).						
CLAIMS	NUMBER FILED	NUMBER EXTRA		RATE		
Total claims	-20 =		х	\$ 18.00	\$	
Independent claims	-3 =		+ x	\$ 86.00	\$	
MOETH EL BEI ENBENT CEANN(S) (IT applicable)					-	
TOTAL OF ABOVE CALCULATIONS =					\$ 920.00	<u> </u>
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.					\$ 920.00	
SUBTOTAL =					\$ 920.00	
Processing fee of \$130.00 for furnishing the English translation later than						
TOTAL NATIONAL FEE =					\$ 920.00	
Fee for recording the enclose assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$ 40.00	
TOTAL FEES ENCLOSED =					\$ 960.00	
					Amount to be refunded:	\$
					charged:	\$
a. A check in the amount of \$960.00 To cover the above fees is enclosed.						
b. Please charge my Deposit Account No. 08-1641 In the amount of to cover the above fees.						
A duplicate copy of this sheet is enclosed.						
c. The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment						
To Deposit Account No. <u>08-1641</u> A duplicate coy of this sheet is enclosed.						
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.						
SEND ALL CORRESPONDENCE TO:						
John P. Isacson HELLER EHRMAN WHITE & MCAULIFFE						
1666 K Street, N.W., Suite 300 Washington, DC 20006 NAME 0					Date	<u> </u>
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